

## Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/759,221

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First Named Inventor Tomonori UEMORA et al

Group Art Unit 3621

Examiner Name C. SHERR

Attorney Docket Number UEMORI=9

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Sheet 1 of 1 Attorn

			U.S. PAT	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 (If Known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
		Foreign Patent Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines,	
Examiner	Cite No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
W//	MA	WO 98/58306 A1	12-23-1998	TECHWAVE INC. et al		
005	AB	WO 03/023876 A1	03-20-2003	ENTRIQ LIMITED BVI et al	•	
11						

NON PATENT LITERATURE DOCUMENTS / OTHER INFORMATION					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
		GUTH, Susanne et al., "A Contract and Rights Management Framework Design for Interacting Brokers", Proceedings of the 36 <sup>th</sup> Hawaii International Conference on System Sciences, January 6-9, 2003, IEEE, Piscataway, NJ, pp. 283-292.			
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Examiner Signature	Browlless	Date Considered	3 25	06
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.